GP 2-85) PTO/SB/21(10/96)

PTO/SB/21(10/96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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(to be used for all correspondence are initial filing) JAN 19 2000 Aug. Total Number of Pages in This Remission		Application Number		09/141,210				
		Filing Date	Aug	rust 27, 1998				
		First Named Inventor	Phil	lip E. Mattison				
		Group Art Unit	285	1				
		Examiner Name	Not	Assigned				
		Attorney Docket Number	042	390.P4817				
ENCLOSURES (check all that apply)								
After Allowance Communication								
Fee Transmittal Form	Assignm (for an A	nent Papers Application)		to Group				
Fee Attached	Drawing	ı(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Response	Licensin	ng-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final Petition and Acc		Routing Slip (PTO/SB/69) companying Petition		Proprietary Information				
Extension of Time Request To Converge Provision		vert a nal Application		Status Letter				
		of Attorney, Revocation of Correspondence Addres	s X	Additional Enclosure(s) (please identify below):				
Information Disclosure Statement		ıl Disclaimer		*				
Certified Copy of Priority Document(s) Response to Missing Parts/	Small E	ntity Statement	Postcard PCT Search Report 3 Ref: Patent No. 5,537,157 (18					
Incomplete Application		t for Refund		pg) Patent No. 5,854,693 (71 pg)				
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				<u>.</u>				
SIGNATU	RE OF APPLIC	CANT, ATTORNEY, OR	AGEN					
	ini, Reg. No.	42,261		<u>0</u>				
or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN								
Signature Menuela min								
Date January 11, 2000								
CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 11, 2000								
Typed or printed name Jean Svobo	1		Date	01/11/00				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (2/98)

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FEE TRANSMITTAL

Petent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997

Small Entity payments must be supported be a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.28 and 1.28

TOTAL AMOUNT OF PAYMENT

Con	nplete if Known	
Application Number	09/141,210	
Filing Date	08/27/98	NPE
First Named Inventor	Phillip E. Mattison	70
Examiner Name	Not Assigned	7
Group Art Unit	2851	14 1 9 20
Attorney Docket Number	042390.P4817	3 Par

METHOD OF PAYMENT (check one)				-EE C	ALCULATION (continued)	PETRANS
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		ADDITI	ONA	L FE	E	
indicated fees and credit any over payments to:	Large	Entity	Small	Entity		
Deposit	Fee	•	Fee	Fee	Fee Description	Fee Paid
Account 02-2666	Code	(\$)	Code	(\$)	•	
Number -	105	130	205	65	Surcharge - late filing fee or oath	
Deposit Account Blakely, Sokoloff, Taylor & Zafman LLP		50	227.	25	Surcharge - late provisional filing fee or cover sheet.	
Name		130	139	130	Non-English specification	\vdash
Charge Any Additional Fee Required Under 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)					For filing a request for reexamination	-
			112		Requesting publication of SIR prior to	\vdash
					Examiner action	
2. Payment Enclosed:	113	1.840	113	1.840	Requesting publication of SIR after	
☐ Check ☐ Money ☐ Other					Examiner action	
FEE CALCULATION (fees effective 10/01/96)	115		215		Extension for response within first month	
	116		216		Extension for response within second month	\vdash
1. FILING FEE	117		217		Extension for response within third month	\vdash
Large Entity Small Entity	118				Extension for response within fourth month	
Fee Fee Fee Fee Description Fee Paid		•	219		Extension for response within fifth month Notice of Appeal	
Code (\$) Code (\$)	119 120		219		Filing a brief in support of an appeal	\vdash
101 690 201 345 Utility filing fee	120		221		Request for oral hearing	
106 310 206 155 Design filing fee	138	1,360			Petition to institute a public use proceeding	
107 480 207 240 Plant filing fee	140	•	240	55	Petition to revive - unavoidably	
108 690 208 345 Reissue filing fee		1,210			Petition to revive - unintentionally	
114 150 214 75 Provisional filing fee		1,210			Utility issue fee (or reissue)	1: 1
SUBTOTAL (1) (\$)	143	•	243		Design issue fee	5
	144		244		Plant issue fee	
2. CLAIMS Fee from Extra below Fee Paid	122	130	122	130	Petitions to the Commissioner -	
Total Claims	123	50	123	50	Petitions related to provisional applications,	
Independent - = X =	126	240	126	240	Submission of Information Disclosure Stint	
	581	40	581	40	Recording each patent assignment per	
Multiple Dependent Claims Large Entity Small Entity					property (times number of properties)	
Fee Fee Fee Fee Description	146	760	246	380	Filing a submission after final rejection	D.
Code (\$) Code (\$)					(37 CFR 1.129(a))	
103 18 203 9 Claims in excess of 20	149	760	249	380	For each additional invention to be	·~ 5
102 78 202 39 Independent claims in excess of 3	Q45 -				examined (37 CFR 1.129(b))	
104 270 204 135 Multiple Dependent claim						
109 78 209 39 Reissue independent daims over original patent	Otne	:: TEE (S	specif	y)		
110 18 210 9 Reissue claims in excess of 20 and over original patent	Ī					
SUBTOTAL (2) (\$)	i .				SUBTOTAL (3) (\$)	_
(L) (V)	* Reduc	ed by Bas	ic Filing	Fee Paid	3 005:01AL (3) [(\$)	

SUBMITTED BY			Complete (if applicable)		
Typed or Printed Name	Farzad E. Amini, Reg. No. 42,261			Reg. Number	
Signature	genut mi	Date	1/11/2000	Deposit Account User ID	02-2666

Attorney's Docket No. 042390.4817

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Phillip E. Mattison

Serial No.

09/141,210

Filed:

August 27, 1998

For:

IMPROVING THE PORTABILITY

OF DIGITAL IMAGES

JAN 1 9 2000 TA

Examiner:

Not Assigned

Art Unit:

2851

INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.97(c)

Box DD Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to Rule 1.97(c), Applicant desires to make of record the following references which were cited in a PCT International Search Report dated November 12, 1999 in connection with the PCT application that corresponds to the above-identified U.S. patent application. Enclosed is a copy of the search report which indicates the degree of relevance found by the PCT office, where documents cited as category X are deemed relevant when taken alone; documents cited as category Y are deemed relevant when taken in combination with another reference; documents cited as category T are directed to the theory or principle underlying the invention; and documents cited as category A are deemed relevant as technological background defining the general state of the art. Copies of these references are submitted herein.

The information herein is believed to be submitted before the mailing of a final action under §1.113 and a notice of allowance under §1.311. Accordingly, no petition or fee is required for consideration of the enclosed information. It is hereby certified that each item of information contained herein was cited in a communication from the PCT office in a counterpart international application not more than three months prior to the filing of this statement.

In the event any petition is needed for consideration of this Information

Disclosure Statement, Applicants hereby so petition. Please charge any additional fee

due to Deposit Account 02-2666. A duplicate of this sheet is enclosed for this purpose.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: January 11, 2000

Farzad E. Amini, Reg. No. 42, 261

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (310) 207-3800 **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 11, 2000.

Joan Suchodo

Dai